

PCT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: **Jürgen Bühler**

Serial No.: **10/538,553**

Filed: **June 13, 2005**

Title: **SWIVEL ARM ASSEMBLY FOR PLUMBING FIXTURES**

Docket No.: **D4700-00400**

Customer No.: **08933**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<p><b>CERTIFICATE OF MAILING,</b> <b>37 C.F.R. §1.8(a)</b> I certify that this correspondence and the enclosures mentioned therein are being deposited by First Class U.S. Mail with sufficient postage on the date shown below, addressed to Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.</p> <p><i>Stephan P. Gribok</i> Stephan P. Gribok, Reg. No. 29,643 <b>9-12-2005</b> Date</p>
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**INFORMATION DISCLOSURE STATEMENT**  
**TRANSMITTAL LETTER**

Sir:

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR. §1.56 in connection with the above-identified application, which statement is being filed:

☐ Together with the present application.

☒ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. **[37 CFR § 1.97(b)]**

☐ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. **[37 CFR §1.97(c)]**. *(Either the fee or certification is provided, as set forth below).*

☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. **[37 CFR §1.97(d)]**. *The fee and certification are provided, as set forth below).*

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

☒ No separate requirements are needed.

☒ No additional fee is required.

**Fee Under 37 CFR § 1.97(c) or 1.97(d)**

☐ The fee of \$180.00 for submission of an IDS under §1.97(c) as set forth in §1.17(p) accompanies this statement.

**Certification Under 37 CFR § 1.97(e)**

The undersigned certifies:

☐ that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

**Provision of Copies of References**

☒ A copy of each of the foreign references listed on the attached Form PTO/SB/08A is enclosed herewith and forms a part hereof.

☒ Pursuant to the order of the Deputy Commissioner dated July 11, 2003, copies of the U.S. references are not enclosed.

☐ A translation of Reference \_\_\_\_\_ is enclosed herewith and forms a part hereof.

☒ A copy of the German Search Report from the corresponding or related German application is enclosed herewith. **U.S. Patent No. 6,024,331 is the U.S. equivalent of DE 197 05 285 A1.**

☒ A copy of the International Search Report from the corresponding or related PCT application is enclosed herewith.

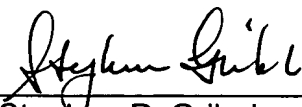
**Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted**

☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Application No(s).\_\_\_\_\_, filed \_\_\_\_\_. The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:

[X] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1679.

Respectfully submitted,

Date: Sept. 12, 2005

  
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**Complete if Known**

*(Use as many sheets as necessary)*

Sheet	1	of	1
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Application Number	10/538,553
Filing Date	June 13, 2005
First Named Inventor	Jürgen Bühler
Art Unit	Not yet known
Examiner Name	Not yet known
Attorney Docket Number	D4700-00400

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
	1	CH 691 656 A5	09-14-2001	Samuel Eugster		
	2	DE 44 30 805 A1	05-11-1995	Desch		
	3	DE 198 02 917 A1	05-06-1999	Franz Scheffer oHG		
	4	EP 0 790 358 A2	08-20-1997	Franz Scheffer oHG		
	5	GB 2 192 530 A	01-20-1988	Caradon Mira Limited		

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Number of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*